



#10

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Haruo Oba et al.
Appl. No.: 09/696,927
Filed: October 26, 2000
Title: AUTHENTICATION INFORMATION COMMUNICATION SYSTEM AND
METHOD, PORTABLE INFORMATION PROCESSING DEVICE AND
PROGRAM FURNISHING METHOD

COPY OF PAPERS
ORIGINALLY FILED

Art Unit:
Examiner:
Docket No.: 112857-075

Assistant Commissioner for Patents
Washington, DC 20231

RECEIVED
MAR 07 2002
Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
6,020,891	02/01/00	Junichi Rekimoto
6,055,536	04/25/00	Masato Shimakawa et al.
5,914,701	06/22/99	Neil Gersheneld et al.
5,796,827	08/18/98	Don Coppersmith et al.

FOREIGN PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
JP 11161763	06/18/99	Japan
JP 7170215	07/04/95	Japan

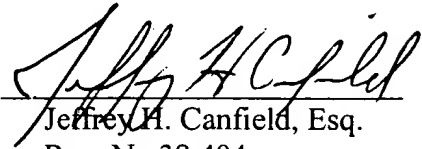
OTHER DOCUMENTS

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY

A handwritten signature in cursive script, appearing to read "Jeff H. Canfield", written over a horizontal line.

Jeffrey H. Canfield, Esq.

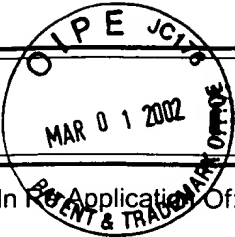
Reg. No.38,404

P.O. Box 1135

Chicago, Illinois 60690-1135

Phone: (312) 807-4233

2132 #10



TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
112857-075

In Re Application Of: Haruo Oba et al.

**COPY OF PAPERS
ORIGINALLY FILED**

Serial No.
09/696,927

Filing Date
10/26/00

Examiner

Group Art Unit

Title: **AUTHENTICATION INFORMATION COMMUNICATION SYSTEM AND METHOD, PORTABLE
INFORMATION PROCESSING DEVICE AND PROGRAM FURNISHING METHOD**

RECEIVED

MAR 07 2002

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Technology Center 2100

Transmitted herewith is:

**Transmittal of Information Disclosure Statement (Duplicate); Information Disclosure Statement (2 Pages); PTO
Form 1449; Cited References; and Return Receipt Postcard.**

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **02-1818**
as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Signature

Dated: February 11, 2002

Jeffrey H. Canfield, Esq. (38,404)
Bell, Boyd & Lloyd LLC
P.O. Box 1135
Chicago, Illinois 60690-1135
Telephone: (312) 807-4233

I certify that this document and fee is being deposited
on Feb. 11, 2002 with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.

Signature of Person Mailing Correspondence

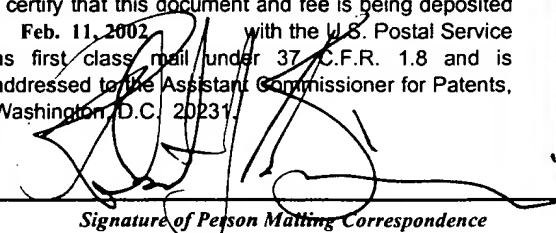
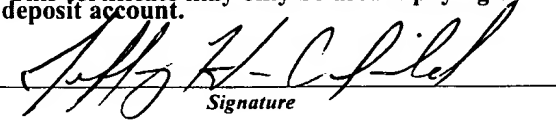
Robert J. Buccieri

Typed or Printed Name of Person Mailing Correspondence

CC:

#10

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))		Docket No. 112857-075	
In Re Application Of: Haruo Oba et al.		COPY OF PAPERS ORIGINALLY FILED	
Inventor No. 09/696,927	Filing Date 10/26/00	Examiner	Group Art Unit
Title: AUTHENTICATION INFORMATION COMMUNICATION SYSTEM AND METHOD, PORTABLE INFORMATION PROCESSING DEVICE AND PROGRAM FURNISHING METHOD			
Address to: Assistant Commissioner for Patents Washington, D.C. 20231		<div style="border: 1px solid black; padding: 5px; display: inline-block;">RECEIVED MAR 07 2002 Technology Center 2100</div>	
37 CFR 1.97(b)			
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.			
37 CFR 1.97(c)			
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:			
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);			
OR			
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).			

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 112857-075	
In Re Application: Haruo Oba et al.			COPY OF PAPERS ORIGINALLY FILED	
Serial No. 09/696,927	Filing Date 10/26/00	Examiner	Group Art Unit	
AUTHENTICATION INFORMATION COMMUNICATION SYSTEM AND METHOD, PORTABLE INFORMATION PROCESSING DEVICE AND PROGRAM FURNISHING METHOD				
Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))				
<input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.				
<input type="checkbox"/> Charge the amount of _____ <input checked="" type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required.				
Certificate of Transmission by Facsimile*		Certificate of Mailing by First Class Mail		
I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F		I certify that this document and fee is being deposited		
_____ (Date)		Feb. 11, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.		
_____ Signature		 Signature of Person Mailing Correspondence		
_____ Typed or Printed Name of Person Signing Certificate		_____ Typed or Printed Name of Person Mailing Certificate		
*This certificate may only be used if paying by deposit account.				
 Signature		Dated: February 11, 2002		
Jeffrey H. Canfield, Esq. (38,404) Bell, Boyd & Lloyd LLC P.O. Box 1135 Chicago, Illinois 60690-1135 Telephone: (312) 807-4233				
<div style="border: 2px solid black; padding: 10px; width: fit-content; margin: 0 auto;"> RECEIVED MAR 07 2002 Technology Center 2100 </div>				
CC:				